JUL 0 3 2003

TRADE Attorney's Docket No. 80398.P425

213/6 50 07-11-63

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	)					
L. Michael Maritzen, et al.	) Examiner:	Not yet assigned				
Application No.: 09/929,960	) Art Unit:	2131				
Filed: August 15, 2001	) )	RECEIVED				
For: Method and Apparatus for	) )	JUL 0.8 2003				
Preventing an Unauthorized Transaction	) )	Technology Center 2100				
Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	J					
INFORMATION DISCL	OSURE STATEM	<u>ENT</u>				
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respectfully requested that the cited docu	ıments be conside	red and that the				
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be initialed by the Examiner to indicate so	uch consideration	and a copy thereof				
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Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

has been made and is not to be construed as an admission that the information

cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4/35, 2003

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

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STATEMENT BY APPLICANT					First Named Inventor: L. Michael N		Maritzen		
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	FOREIGN PATENT DOCUMENTS								
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Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT		Filing Date	August 15, 2001			
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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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## Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001 Technology Center 2100

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
А9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre- grant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
Н	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pregrant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
Р9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change